

## Turning a Necessity into Your Competitive Advantage

*A good reputation, the ability to respond quickly and decisively to opportunities and threats, a proven track record of regulatory compliance and internal control; it all complements your organizations' ability to execute its' strategy, attract new customers, business partners and investors, and very importantly: avoid legal action. The QPR Risk Management and Compliance Solution helps your organization to lift internal control and risk management from being a set of tactical, ad-hoc initiatives to becoming your strategic advantage.*

### Turn Risks into Opportunities

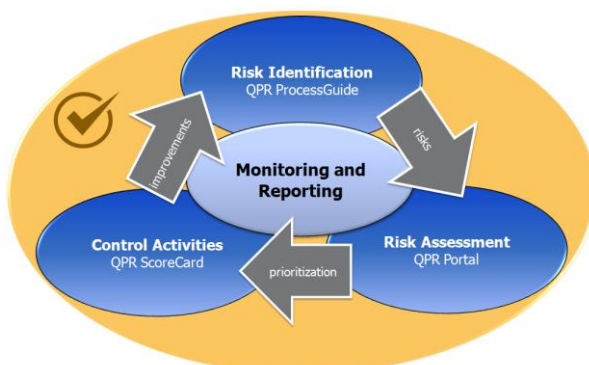
Risk and compliance mandates cross organizational boundaries and functional units to affect your organization as a whole. Managing this effectively requires an organization-wide solution that addresses the challenge in a unified and efficient way. This avoids the common cost drivers of duplicate work and unsystematic approaches that are inherent with managing risk and compliance in functional silos.

Turning risks into opportunities requires your organization to be aware of all the risks and regulations that affect it, to be prepared for them and to be able to prove it is prepared, in the most cost-effective manner. Being more risk-aware, better prepared and more able to prove to the outside world that things are under control will give your organization a significant edge. Think about stakeholder confidence (e.g. investors and insurers), decision making and your ability to gain or recover from events better, because unlike your competition, *you anticipated them.*

QPR Risk Management and Compliance provides your organization with a single solution that does not limit itself to addressing the risks that affect just a single business or functional unit. QPR covers your entire organization to give you a complete view of your risk landscape and your position in it. It helps you identify and assess risks and regulatory impact, define, implement and communicate policies and controls for mitigating identified risks, and allows you to continuously monitor and report on the state of identified risks and internal control.

### QPR Risk Management & Compliance Cycle

QPR provides you with a solution that supports the full Risk Management and Compliance cycle, starting with identifying and assessing the risks and regulatory mandates that affect your organization, individual business units and processes.



The QPR Risk Management & Compliance cycle

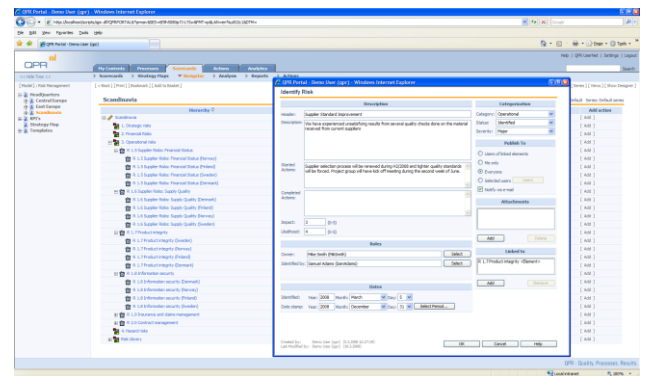
Once decisions are made as to what risks to accept, transfer or manage it allows you to implement policies and controls, make needed changes to processes, document and communicate these to ensure everyone is aware of their responsibilities.

Continuous monitoring then provides your organization with the ability to react fast and appropriately to changes and non-conformances, while tailored Enterprise Risk Management Dashboards give management and Chief Risk Officers the tools they need to manage improvement initiatives.

### Capture All the Risks that are Relevant

By providing an organization-wide platform that gets everyone involved in Risk and Compliance Management, QPR helps organizations overcome the daunting challenge of identifying all the risks that are relevant. Knowledge about the business, the processes and operations is often distributed over many individuals with no one having a complete view of what events may pose a business risk or what procedures may incur a compliance breach with regulations. For example an IT Manager will look at business continuity risk from a different perspective than an Operations Manager and therefore introduce different risks related to this area.

By exposing business processes and objectives and clearly communicating these to all levels of the organization, QPR provides the missing link between strategy and processes on one side, and the identification of related business and compliance risks on the other.



QPR Portal gets everyone involved in Risk Management

### Assess, Evaluate & Manage Risks Effectively

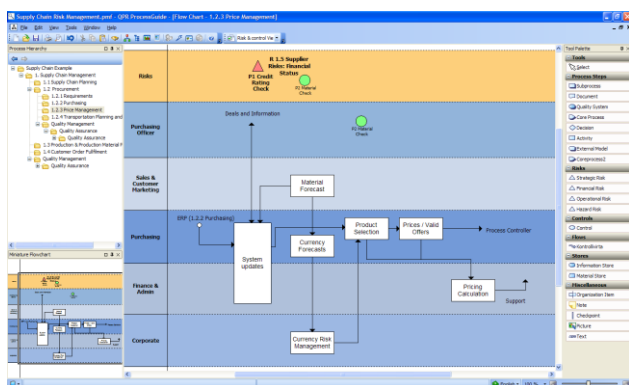
QPR gives you complete freedom as to how you wish to assess risks, evaluate, categorize, allocate and follow up on them. Whereas with some risks you may want to measure their likelihood and impact on a low-mid-high scale, for others you may have other requirements. Being able to categorize and allocate risks the way you want it, for example by risk category, process, business unit, impact etc. furthermore allows you to sculpt the way your organization manages risk in a manner that works best for you. QPR adapts to your requirements while also supporting known risk management frameworks like COSO, AS/NZ 4360, the Risk Management Standard by the IRM as well as EFQM or the Balanced Scorecard.

## Monitor Progress in Addressing Risks

Audits, conducted by internal or external auditors will often drive a multitude of implementation projects for new policies and controls that need to cover new risks or mend problems with existing controls. Missing the deadlines issued by auditors for their implementation may result in fines, increased scrutiny and loss of business due to a freezing of operations. QPR provides you with a clear view of how all issues are being addressed and how well your implementation projects are progressing compared to targets and milestones. What's more is that all actions are logged, providing auditors, Chief Risk Officers and management a central point of access to all control actions.

## Ensure Everyone Understands Their Responsibilities

QPR makes documenting and communicating risk and compliance information throughout the organization convenient and effective by taking a process-oriented and role-based approach. The QPR Risk Management and Compliance Solution is based on a central repository that contains all process, performance and risk information, which facilitates its effective reuse. Not only does it make it easy to quickly populate multiple process maps with risks and controls without having to repeatedly re-create elements and all their attribute values, it also ensures consistency and significantly reduces the amount of effort needed to maintain the information. A change event, like for example a new risk owner, is immediately propagated throughout all process maps and views.



*Process maps complemented with risk and control information*

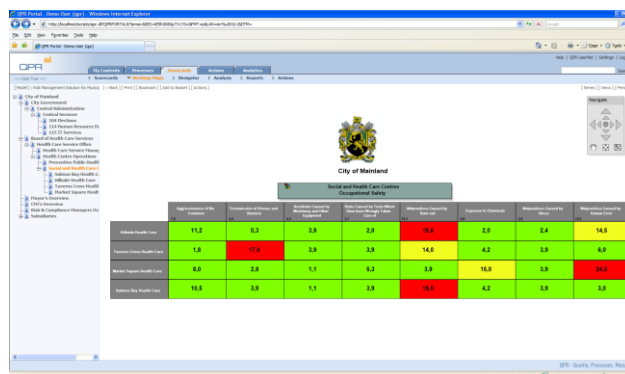
A key facet of risk and compliance is ensuring that everyone is aware of the risks and procedures that affect their role, and understand what is expected of them. Process maps enriched with risk information and communicated based on the role of the recipient provide you with an effective means of achieving this.

## React Fast and Appropriately to Events

QPR helps your organization in keeping up to date with all risk and control information via Risk Dashboards. Understanding the unique requirements of organizations where it comes to monitoring, QPR Risk Dashboards are easy to tailor to your specific needs, for example dashboards that monitor SOX compliance, production risks, risks related to a specific business unit, process or Balanced Scorecard perspective. It furthermore

allows you to configure alerts that warn you of exceptional performance or missed assessments, enabling you to take action when it is needed.

On top of that, QPR allows you to drill down into details, perform filtering and do analysis, all the functionality you will need for effectively assessing your overall risk profile.



*Risk Dashboards provide insight as to where action is needed*

## Internal Control and Confidence

QPR helps you ensure that risk and control assessments are done periodically and in time, that action is undertaken to mend deficiencies and that Risk Management and Compliance becomes an integral part of the way your organization is run. It provides you with an accurate, complete and up-to-date overview of your risk and compliance profile and the ability to prove to auditors and the outside world that risks are managed effectively and that regulations are being complied with. By equipping your organization with QPR you foster an environment of appropriate risk-taking where decisions can be made with confidence, and where you are better able to anticipate and plan for opportunities and threats in a cost-effective manner.

## QPR Software Plc

Since 1991, QPR Software Plc has specialized in providing solutions that help people and organizations take control of their business processes and achieve their goals, founded on our belief that the most successful businesses and communities will be those that best utilize the competencies of their people. QPR cooperates with an extensive network of talented partners in over 50 countries worldwide.

## Selected Customer Reference

QPR has over 1,500 customers around the world.

*“Risk identification, evaluation and monitoring have improved beyond expectations. The quality of risk reporting inside the organization has also improved”*

**Schalk Lubbe, Managing Director CRA - African Rainbow Minerals**

*“Reduces our work volumes by a significant degree, especially in data acquisition and summary reporting”*

**Riku Kolhonen, Quality Manager - Skanska**